# DP Example 2: Rod cutting 

Module 4: techniques

The problem: We are given a long steel rod and we need to cut it into shorter rods which we then sell. Making each cut is free and all rod lengths are always integers. Assume we are given, for each $i=1,2,3, \ldots$, the price $p_{i}$ (in dollars) that we can sell a rod of length $i$. Goal: Given a rod of length $n$ inches and a table of prices $p_{i}$ for $i=1,2,3, \ldots, n$, determine the maximal revenue obtainable by cutting up the rod and selling the pieces.

Example: Find the maximal revenue for a rod of length $n=10$ obtainable with the prices below.

| length | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| price $p_{i}$ | 1 | 5 | 8 | 9 | 19 | 17 | 17 | 20 | 24 | 30 |

First steps, towards understanding the problem: Draw all possible ways a rod of length $n$ can be cut, for $n=1,2,3,4$. Write down the revenue of the cut in each case.

$$
\begin{aligned}
& n=1 \\
& n=2 \\
& n=3 \\
& n=4
\end{aligned}
$$

Question: How many different cuts for a rod of length $n$ ?

Answer: You have the choice of $n-1$ cuts: you can cut at distance $1,2, \ldots, n-1$ from the beginning of the rod. Can view each cut as a binary variable, with values 0 (no cut) or 1 (cut). There are $2^{n-1}$ different combinations. Each one corresponds to a different way to cut the rod (but note that different cuts might result in the same set of rods, and thus have the same cost).

Choice of subproblem. This problem has one parameter, which is the size of the rod. When we make a cut, we get segments of smaller sizes. We denote by $r_{n}$ or $r(n)$ the maximal revenue obtainable by cutting up a rod of length $n$.

Optimal substructure: Assume someone told us that the first (left-most) cut in the optimal solution was at distance $i$ from the beginning; thus the first piece has length $i$.
Claim: Then it has to be that $r(n)=p_{i}+r(n-i)$. In other words, the optimal revenue consists of the price of that first piece $p_{i}$ plus the optimal revenue obtainable for the remaining rod. Basically this says that if we want maximal revenue for a rod of length $n$, once we determined a cut, we want maximal revenue for the remaining piece.
Proof: By contradiction.. Assume $r(n)=p_{i}+x$. If $x<r(n-i)$ then we found a better revenue for a rod of length $n-i$ which is not possible, because $r(n-i)$ represents the optimal cost obtainable from a rod of length $n-i$.

Recursive formulation: Once we established that the problem has optimal substructure (the optimal solution consists of optimal solutions to sub-problems), we can use it in the following way:

If we knew where the first (leftmost) cut was, we'd recurse from there. But we don't know where the first cut is, so, so we have to consider all options: the first cut can be at distance 1 from the start, or at distance 2 , or $3, \ldots$, or $n$ (in this case, there is no cut). The maximal revenue is the largest revenue obtainable by one of these choices. Thus we get

$$
r_{n}=\max \left\{p_{1}+r(n-1), p_{2}+r(n-2), \ldots ., p_{i}+r(n-i), \ldots, p_{n-1}+r(1), p_{n}\right\}
$$

$/ /$ Note: the price array $p[1 . . n]$ is a global variable
//Output: returns the maximal revenue obtainable by cutting up a rod of length $x$.
$\operatorname{maxrev}(x)$
if $(x \leq 0)$ : return 0
For $\mathrm{i}=1$ to x : compute $p[i]+\operatorname{maxrev}(x-i)$ and keep track of max
RETURN this max

Correctness: It tries all possibilities for first cut and recurses on the rest (correct bec. of optimal substructure).

Analysis: We can write a recurrence for the running time $T(n)$ of the recursive algorithm $\operatorname{maxrev}(n)$ and show that it is exponential $T(n)=\Omega\left(2^{n / 2}\right)$.
(to do)

Overlapping subproblems: Why is $\operatorname{maxrev}(n)$ inneficient? Draw the recursion tree for $n=4$. How many different sub-problems are there in total, and can a problem be solved multiple times?

## Rod cutting: DP solution with memo-ized recursion

We create a table of size $[0 . . n]$, where table $[i]$ will store the result of maxrev $(i)$. We initialize all entries in the table as 0 . We call maxrev $D P(n)$ and return the result.
//Note: the prices $p[1 . . n]$ and the table table[1..n] are global variables
//Output: returns the maximal revenue obtainable by cutting up a rod of length $x$.
maxrevDP $(x)$
if $(x \leq 0)$ : return 0
IF table $[x] \neq 0:$ RETURN table $[x]$
For $\mathrm{i}=1$ to x : compute $p[i]+\operatorname{maxrevDP}(x-i)$ and keep track of max
table $[x]=\max$
RETURN table $[x]$

Running time for maxrev $D P(n): \Theta\left(n^{2}\right)$

## Rod cutting: iterative DP solution

// Input: the prices $p[1 . . n]$
// Output: returns the maximal revenue obtainable by cutting up a rod of length $n$. maxrevDP_iterative()
create table $[0 . . n]$ and initialize table $[i]=0$ for all $i$
for $(k=1 ; k \leq n ; k++)$
for $(i=1 ; i \leq k ; i++)$
set table $[k]=\max \{$ table $[k], p[i]+$ table $[k-i]\}$
RETURN table[n]

Running time for maxrevDP_iterative $(n): \Theta\left(n^{2}\right)$

## From optimal revenue to the cuts

- Computing full solution (without storing additional information while filling the table):

Input: The table table $[0 . . n]$ as computed above, where table $[i]$ stores the maxrev obtainable from a rod of length $i$. And the prices $p[1 . . n]$.
Output: the set of cuts corresponding to table $[n]$

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curLength = n
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while (curLength $>1$ ) do:
for $(i=1 ; i \leq$ curLength $; i++)$
//is the value table[curLength] achieved via a first cut of length $i$ ?
if table $[$ curLength $]==(p[i]+$ table $[$ curLength $-i]):$
output that a cut of length $i$ was made
curLength $=$ curLength $-i$

Running time: $\Theta\left(n^{2}\right)$, no extra space

- Computing full solution (with storing additional information while filling the table):

In addition to table[0...n] we use an array firstcut $[0 . . n]$ where firstcut $[i]$ will store the first cut in maxrev $(i)$. We can extend the algorithm for computing maxrevDP(x) (either recursive or iterative) to also compute firstcut $[x]$ : basically if the maximum revenue for $x$ is achieved with the first cut being of length $k$, we'll store that $\operatorname{firstcut}[x]=k$.

Input: The table table[0..n] as computed above, where table[i] stores the maxrev obtainable from a rod of length $i$. And firstcut $[0 . . n]$ where firstcut $[i]$ will store the first cut in maxrev ( $i$ ).
Output: the set of cuts corresponding to table[n]
curLength $=n$
while (curLength $>1$ ) do:
output a cut of length firstcut[curLength]
curLength $=$ curLength - firstcut $[$ curLength]

Running time: $\Theta(n)$, with $\Theta(n)$ extra space for firstcut $[0 . . n]$

